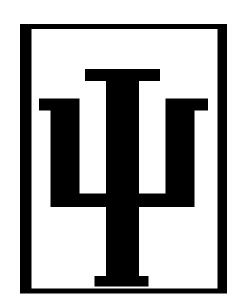
The College of Psychologists of Ontario L'Ordre des psychologues de L'Ontario

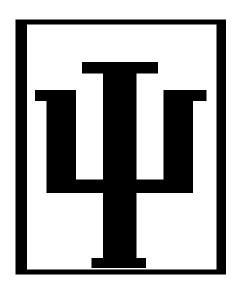
# ANNUAL REPORT



2012/2013

## The College of Psychologists of Ontario L'Ordre des psychologues de l'Ontario

110 Eglinton Avenue West, Suite 500, Toronto, Ontario M4R 1A3



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## **Council and Staff**

#### Council Members

District 1 – North

District 2 – Southwest

District 3 – Central

District 4 – East

District 5 – GTA East

District 6 – GTA West

Milan Pomichalek, Ph.D., C.Psych.

Mustaq Khan, Ph.D., C.Psych.

Allyson Harrison, Ph.D., C.Psych.

Lise Mercier, Ph.D., C.Psych.

Ruth Berman, Ph.D., C.Psych.

Peter Farvolden, Ph.D., C.Psych.

District 7 – Psychological Associate Robert Gauthier, M.Sc., M.Ed., C.Psych.Assoc.

District 8 – Academic Abby Goldstein, Ph.D., C.Psych.

Jane Ledingham, Ph.D., C.Psych. Glenn

Non-Voting Webster, M.Ed., C.Psych.Assoc.

Public Appointees Judy Cohen

Vincent Lacroix Ivan McFarlane Peter McKegney Ethel Teitelbaum

#### College Staff

Registrar & Executive Director Catherine Yarrow, MBA, Ph.D., C.Psych.

Deputy Registrar/Director, Professional Affairs Rick Morris, Ph.D., C.Psych.

Director, Investigations and Hearings Barry Gang, MBA, Dip.C.S., C.Psych.Assoc.

Director, Registration

Case Manager

Administrative Assistant: Investigations and Hearings

Administrative Assistant: Member Services

Information Systems Administrator

Administrative Assistant: Registration

Practice Advisor/QA Coordinator

Lesia Mackanyn

Grant Daley

Indira Darshanand

Alison Dimock

Gnana Fernando

Sulmaz Ghoraishi

Julie Hahn

Investigator Mona McTague
Administrative Assistant: Registration Fiona McCann

Manager, Administration
Assistant to the Registrar
Case Manager

Stephanie Morton
Prema Shankaran
Lee-Ann Siu

Administrative Assistant: Investigations and Hearings Jean-Michel Trussart

Administrative Assistant: Registration Myra Veluz
Case Manager Zimra Yetnikoff

## Council

Introduction The Council is the Board of Directors of the College and is responsible for managing and administering the affairs of the College. This report covers the fiscal year June 1, 2012 to May 31, 2013.

#### **Council Members**

President Milan Pomichalek, Ph.D., C.Psych. (North) Robert Gauthier, M.Sc., M.Ed., C. Psvch, Assoc. (Psvch, Associates) Vice-President Ruth Berman, Ph.D., C.Psych. (GTA East) Peter Farvolden, Ph.D., C.Psych. (GTA West) Allyson Harrison, Ph.D., C.Psych. (Central)

Mustaq Khan, Ph.D., C.Psych. (Southwest) Lise Mercier, Ph.D., C.Psych. (East)

Abby Goldstein, Ph.D., C.Psych. (Academic) Jane Ledingham, Ph.D., C.Psych. (Academic)

Judy Cohen (Public Member) Vincent Lacroix (Public Member) Ivan McFarlane (Public Member) Peter McKegney (Public Member) Cheryl Rampersad (Public Member) Ethel Teitelbaum (Public Member)

Glenn Webster, M.Ed., C.Psych.Assoc. (Non-voting)

Newly elected to the Council were Dr. Ruth Berman and Dr. Peter Farvolden, Mr. Glenn Webster was elected to the non-voting Psychological Associate seat. Two public members were reappointed to the Council: Mr. Peter McKegney in March 2012 and Ms Ethel Teitelbaum in May 2012. Ms Cheryl Rampersad's term ended in August 2012, and Ms Kristin Bisbee was appointed April 2013.

The Council met five times during the year: four times face-to-face and once by teleconference. Observers were able to attend at the College to hear the proceedings during each of the meetings. At the June 2012 meeting, Council re-elected Dr. Milan Pomichalek as President and Mr. Robert Gauthier as Vice-President.

#### **Council Actions**

Regulations. Council was advised that amendments to bring the registration regulation into compliance with the Ontario Labour Mobility Act went into force in May 2012. Amendments approved by Council to revise the language of the academic training requirements, to remove reference to guidelines and provide more specificity and transparency in the registration regulation were submitted to the Ministry of Health and Long-Term Care in June 2012. Following discussions between the College and Ministry staff respecting policy issues and legislative drafting, the Council approved a revised version of the amended registration regulation in March 2013, for submission to the Ministry.

Amendments to the Quality Assurance regulation were submitted to the Ministry in August

2012. Following discussions between the College and Ministry staff respecting policy and legislative drafting, the Council approved a revised version of the amended quality assurance regulation in March 2013, for submission to the Ministry.

<u>Proposed Titles Regulation.</u> Council revised and approved for submission to the Ministry a proposed "Titles" regulation, which would incorporate the standard permitting only those members registered as psychologists on the basis of a doctoral degree to use the title "doctor".

<u>Bylaws.</u> The Council amended sections in Bylaw 9: Banking and Finance relating to the transfer of funds to delete reference the Director, Registration & Administration and the Director, Investigations & Hearings and to add reference to the Manager, Administration.

Council also amended Bylaw 20; Elections to Council. In order to confirm Council election results more promptly, Council amended the bylaw to shorten the period during which a candidate may request a recount to 10 business days, and the period during which the College must conduct a recount to 5 business days. In addition, to facilitate the recruitment of representatives from the academic training programs, Council approved amendments to reduce the term of the appointment for academic representatives to two years and to change the eligibility criteria to allow one of the three positions to be held by an adjunct faculty member or a recently retired Emeritus/a.

As the Minister had advised that unproclaimed amendments to the Health Professions Procedural Code respecting professional liability insurance coverage would not be brought into force, the Council amended Bylaw 22: Professional Liability Insurance to remove a requirement for "personal" insurance coverage so that members would be required "to hold or otherwise be covered by professional liability insurance".

<u>Policy Issues.</u> The Council received regular reports from the Task Force on Shaping the Future of Psychology Regulation in Ontario. After reviewing and discussing the final report received from the task force in March 2013, the Council made a policy decision to discontinue master's level registration, grandparent Psychological Associates as Psychologists, and develop a mechanism for evaluating internationally trained applicants. Council directed that a draft implementation plan be prepared for review at the June 2013 Council meeting prior to engaging in a consultation on this proposal.

The Council approved terms of reference for the Task Force on Psychological Service Provision in the Context of Disputes Concerning Child Custody, Access or Child Protection. The mandate of the task force is to develop advice to members and suggestions about practices for the College to adopt, both to enable best practice and support the availability of members who are willing to provide a variety of services related to custody/access and child protection related work.

The Council amended the College's Privacy Code to indicate that the College may provide member information necessary for the establishment of a Provider Registry by eHealthOntario.

In light of an initiative by the Ontario Psychological Association to seek prescribing authority for psychologists, Council agreed that, should the Minister of Health and Long-Term Care consider extending to members of the College of Psychologists of Ontario the authority to prescribe psychotropic medication, in the interest of public protection, the College would take the necessary steps to regulate the competent performance of this controlled act.

Council discussed policy issues relating to delegation and supervision of performance of the

pending new controlled act relating to psychotherapy in anticipation of proclamation of the new controlled act in April 2104.

To facilitate the policy work of the College, Council directed that the policy setting out the terms of reference/role of the Client Relations Committee be revised to include the review of policy and legislative issues and the development of recommendations to the Council.

Council monitored initiatives in the United States and Canada to regulate applied behaviour analysts and directed that an advisory committee be established to provide advice to Council.

<u>Business.</u> Council received quarterly reports and annual reports from the statutory committees and an annual report on the Jurisprudence and Ethics Examination.

<u>Financial.</u> Council appointed signing officers for the year, approved the audited financial statements for the fiscal year ending May 31, 2012 and appointed the auditors for the following year. In addition, Council accepted a recommendation not to raise registration fees and approved the annual budget for 2013-2014.

Council approved amendments to sections of Bylaw 9: Banking and Finance to allow for additional types of conservative investments of funds not immediately needed for the College's operating expenses.

## **Executive Committee**

**Introduction** The Executive Committee held 4 one-day meetings plus four shorter meetings during the year.

Members Milan Pomichalek, Ph.D., C.Psych. President

Robert Gauthier, M.Sc., M.Ed., C.Psych.Assoc. Vice-President

Mustaq Khan, Ph.D., C.Psych. Lise Mercier, Ph.D., C.Psych

Peter McKegney Public Member
Vincent Lacroix Public Member

College Staff Support Catherine Yarrow, MBA, Ph.D., C.Psych., Registrar & Executive Director

Prema Shankaran, Assistant to the Registrar

**Activities** 

Following their election at the Council meeting of June 1, 2012, the Executive Committee appointed members of the Council and of the College to six statutory committees, the Jurisprudence and Ethics Examination Committee (JEEC), the Finance and Audit Committee (FAC), and the Nominations and Leadership Development Committee. During the year, the committee made additional committee appointments to fill a vacancy and to include a newly appointed public member of the Council.

The committee monitored various initiatives and brought policy issues to the attention of Council for consideration. Subjects discussed by the committee included the proposed amendments to the registration regulation and the quality assurance regulation, an initiative by the Ontario Psychological Association to seek prescribing authority for psychologists, possible alternative formats for the College's Jurisprudence and Ethics Examination, advice from the Health Professions Regulatory Advisory Council to the Minister regarding the spousal patient, and difficulties recruiting academic representatives to the Council. Other topics included model standards for telepsychology, publication of additional disciplinary information on the Register and a request from eHealthOntaio for member information which is not publicly available.

The committee discussed approaches to public education and initiatives to regulate the practice of applied behaviour analysis. They reviewed draft standards on psychotherapy developed by an interprofessional working group of colleges and directed that questions regarding delegation and supervision of the proposed new controlled act be brought to the Council to facilitate a discussion of relevant issues. In addition the committee established a task force to develop advice for members regarding the provision of psychological services in the context of matters involving child custody and access and child protection.

Following review by the Finance and Audit Committee, the Executive Committee approved the draft 2013-2014 budget for presentation to Council. In keeping with recent amendments to the College Bylaws and based on recommendations from the graduate training directors, the committee appointed two new academic members to the Council, each for a two-year term commencing in June 2013.

During the year, subcommittees of the Executive met on three occasions to consider proposed joint submissions in Discipline cases.

On May 16-17, 2013 the Executive Committee met in Windsor and hosted a reception for local members. The President and the Vice-President represented the College at meetings of the Association of State and Provincial Psychology Boards and of the Association of Canadian Psychology Regulatory Organizations.

# **Registration Committee**

#### Introduction

The major roles of the Registration Committee are:

- (1) to review all applications for registration of psychologists and psychological associates referred by the Registrar, at all steps in the registration or appeals process, and to make individual registration decisions;
- (2) to review applications under Section 19. of the Regulated Health Professions Act (RHPA) for removal or modification of a term, condition or limitation;
- (3) to review applications for change of area of practice or change of status for autonomous practice members; and
- (4) to recommend registration policy and procedures consistent with the RHPA, with Regulation 533/98, Registration, with applicable interprovincial legislation such as the amended Agreement on Internal Trade (AIT) and the Ontario Labour Mobility Act, or international agreements such as the Association of State and Provincial Psychology Board's (ASPPB) Reciprocity Agreement.

#### Members

Lise Mercier, Ph.D., C.Psych. Chair Council Member

Oliver Foese, Dipl.Psych., C.Psych.Assoc. College Member

Vince Lacroix

Jane Ledingham, Ph.D., C.Psych.

Peter McKegney

Michael Minden, Ph.D., C.Psych.

Mary Stewart, Ph.D., C.Psych.

Carolee Orme, Ph.D., C.Psych.

College Member

Carolee Orme, Ph.D., C.Psych.

College Member

Abby Goldstein, Ph.D., C.Psych.

Public Member of Council

Public Member of Council

Academic Member of Council

Academic Member of Council

Lesia Mackanyn Director, Registration
Myra Veluz Senior Registration Assistant

Sulmaz Ghoraishi Administrative Assistant: Registration Fiona McCann Administrative Assistant: Registration

#### Meetings

Support

College Staff

The Registration Committee held a total of 17 meetings in this fiscal year. The Committee met in plenary session for the consideration of broader issues, including the preparation of recommendations to Council on registration policy. Plenary sessions were held on 5 occasions. The Committee met in panel sessions for the review of individual cases. Panel A and Panel B each met 6 times.

#### Results of Plenary Deliberations

The Committee continued to review and consult on the progress of the Registration Sub-Committee's work on identifying the criteria for registration, based upon the wording of the proposed changes to the Registration Regulation. Work on revising the template for submission of supervised member's retraining plans was completed; work on developing a similar template for autonomous practice members who wish to add a practice area or client group is in progress.

# Panel Deliberations

All cases referred by the Registrar to the Registration Committee require thorough preliminary staff review with multiple interactions between the applicant and staff. More than half of the cases require multiple reviews by a panel of the Registration Committee during the period of supervised practice or for approval for an oral examination. In some instances, where the decision is not favorable to the applicant, appeals can be made to the Health Professions Appeal and Review Board (HPARB). Decisions from HPARB have provided direction to each panel in rendering more detailed orders, communicated in a manner consistent with the provisions of RHPA.

#### Summary of Registration Activities For 2012-2013

#### Applications Received by the College: June 1, 2012 to May 31, 2013

#### Applications for a certificate authorizing supervised practice:

Title	Academic Credentials from Ontario Universities	Academic Credentials from Universities elsewhere in Canada	Academic Credentials from Universities in the U.S.	International Academic Credentials other than the U.S.	Total
Psychological Associate	19	13	15	13	60
Psychologist	65	7	22	7	101
Total	84	20	37	20	161

#### Applications for a certificate authorizing interim autonomous practice:

Title	AB	ВС	MB	NB	NF	NS	QC	SK	USA	Total
Psychological Associate	0	0	0	0	0	0	0	0	0	0
Psychologist	6	1	3	2	1	1	23	1	1	*39
Total	6	1	3	2	1	1	23	1	1	39

<sup>\* 14</sup> of these applicants presented with a masters level degree

#### Certificates of Registration Issued by the College: June 1, 2012 to May 31, 2013

#### Certificates Authorizing Supervised Practice Issued: June 1, 2012 to May 31, 2013

Title	Academic Credentials from Ontario Universities	Academic Credentials from Universities elsewhere in Canada	Academic Credentials from Universities in the U.S.	International Academic Credentials other than the U.S.	Total
Psychological Associate	18	3	9	4	34
Psychologist	71	6	23	7	107
Total	89	9	32	11	141

#### Certificates Authorizing Interim Autonomous Practice Issued: June 1, 2012 to May 31, 2013

Title	Academic Credentials from Ontario Universities	Academic Credentials from Universities elsewhere in Canada	Academic Credentials from Universities in the U.S.	International Academic Credentials other than the U.S.	Total
Psychological Associate	0	0	0	0	0
Psychologist	0	0	1	0	1
Total	0	0	1	0	1

#### Certificates Authorizing Autonomous Practice Issued: June 1, 2012 to May 31, 2013

Title	Academic Credentials from Ontario Universities	Academic Credentials from Universities elsewhere in Canada	Academic Credentials from Universities in the U.S.	International Academic Credentials other than the U.S.	Total
Psychological Associate	13	6	6	4	29
Psychologist	101	45	22	7	175
Total	114	51	28	11	204

#### **College Examinations: Comparisons by Year**

#### **Examination for Professional Practice in Psychology**

	2005 - 06	2006 - 07	2007 - 08	2008 - 09	2009 - 10	2010 - 11	2011-12	2012-13
Applications received by the College and submitted to the Professional Examination Service (PES)	148	138	114	135	117	113	132	203
Scores received from PES	154	132	118	125	114	116	126	179

#### **Jurisprudence & Ethics Examination**

Examination Session	Number of candidates 2005 - 2006	Number of candidates 2006 - 2007	Number of candidates 2007 - 2008	Number of candidates 2008 - 2009	Number of candidates 2009 - 2010	Number of candidates 2010 - 2011	Number of candidates 2011 - 2012	Number of candidates 2012 – 2013
Fall Examination	50	61	58	70	63	68	66	79
Spring Examination	71	87	81	87	69	83	113	90
Total for the year	121	148	139	157	132	151	179	169

#### **Oral Examinations**

Examination Session	Number of Candidates 2005	Number of Candidates 2006	Number of Candidates 2007	Number of Candidates 2008	Number of Candidates 2009	Number of Candidates 2010	Number of candidates 2011	Number of candidates 2012
June Examinations	58	64	61	-	59	39	39	47
December Examinations	77	64	61	61	72	50	55	85
May Examinations			45					
Total for the year	135	128	167	61	131	89	94	132

#### Registration Interviews: June 1, 2012 to May 31, 2013

(these include mobility, term/condition/limitation, or change of area)

Title	2005 - 2006	2006 - 2007	2007 - 2008	2008 - 2009	2009 - 2010	2010 - 2011	2011-2012	2012-2013
Psychological Associate	2	2	4	5	4	10	0	4
Psychologist	7	13	13	8	10	2	2	11
Total for the year	9	15	17	13	14	12	2	15

N.B. in 2011-2012 CPO no longer conducting interviews for Canadian mobility applicants.

# <u>Applications Refused: June 1, 2012 to May 31, 2013</u> (Reviewed = referred to Registration Committee for detailed review)

Title	Credent	Academic Credentials from Ontario Universities		Academic Credentials from Universities elsewhere in Canada		lemic als from ies in the S.	International Academic Credentials other than the U.S.		Tot	al
	Reviewed	Refused	Reviewed	Refused	Reviewed	Refused	Reviewed	Refused	Reviewed	Refused
Psychological Associate	2	1	1	1	2	2	0	0	5	4
Psychologist	1	0	0	0	1	0	0	0	2	0
Total for the year	3	1	1	1	3	2	0	0	7	4

# **Quality Assurance Committee**

#### Introduction

The Regulated Health Professions Act, 1991 (RHPA) requires that the College of Psychologists establish a Quality Assurance Program. A Quality Assurance Program is defined as "a program to assure the quality of the practice of the profession and to promote the continuing competence among the members". The Quality Assurance Committee has the statutory responsibility for the development and implementation of the College's Quality Assurance Program.

#### Members

The Quality Assurance Committee of the College of Psychologists consists of three members of the Council (one public and two professional), and two professional non-Council members, as well as staff support. Members of the Committee for the year 2012-2013 were:

Ivan McFarlane (Chair)	Public Member
Ruth Berman, Ph.D., C.Psych.	Council Member
Robert Gauthier, M.Sc., M.Ed., C.Psych.Assoc.	Council Member
Arcangelo Caputo, Ph.D., C.Psych.	College Member
Donna Ferguson Psy.D., C.Psych.	College Member

# Support

College Staff Rick Morris, Ph.D., C.Psych. Deputy Registrar/Director, Professional Affairs Julie Hahn

Practice Advisor/QA Coordinator

#### Activities Review of Quality Assurance Program Components

The Committee reviewed the processes and documents related to the Peer Assisted Reviews (PAR) and the Self-Assessment Guide and Professional Development Plan (SAG). The Peer Assisted Review process was fully discussed from the point of initial random member selection to the review of the findings by the QA Committee. The review afforded the Committee an opportunity to obtain a detailed understanding of the process but did not result in any significant

changes to the process or the documents/forms currently in use.

Two issues did arise for which the Committee determined that formal policies should be in place:

1. Selection, for participation in the Peer Assisted Review, of a member who is currently either a member of the QA Committee or the College Council.

The Committee decided that, given that the QA Committee is responsible for, and reviews, all Review Finding Reports, members of the QA Committee, if selected, would be deferred until their term on the Committee is complete. The Committee felt there was no reason to defer members of Council, if selected, given that the QA process is confidential and separate from other Committee work that may come before it.

Experience as a member of the College required to be selected as the College appointed reviewer.

The Committee noted that to date no policy was in place as to whether there should be a 'years since registration' requirement before a member can be selected as the College appointed review. It was noted that this member leads the review and is responsible for it. It was noted that the College appointed reviewer leads and is responsible for the review which requires knowledge and experience with the Standards of Professional Conduct and how these are integrated into practice. The Committee believes that the member leading the review should be an experienced member and therefore decided that a minimum of five years as an autonomous practice member with the College in Ontario should be a minimum requirement.

The Self-Assessment Guide and Professional Development Plan (SAG) process was fully discussed from initial notification of members in February/March to the referral, if necessary, of any non-compliant members to the Investigations, Complaints and Reports Committee (ICRC). Overall, the Committee was satisfied with the current process, including the reminders to members, and the *SAG* forms. In reviewing the *SAG* form itself, some minor suggestions were made which will be incorporated into the form being revised for distribution to even registration number members, this year.

#### Self-Assessment Guide and Professional Development Plans

The Committee reviewed the returns of the 2012 Declarations of Completion for the Self Assessment Guide and Professional Development Plans (SAG) due from the even-registration numbered members. It noted those members whose Declarations were outstanding and authorized reminders be sent to them. Through a series of informal (e-mails) and formal (letters) reminders the required Declaration was received from all but two of the members required to participate in this component of the Quality Assurance Program this year. A second and final reminder was sent to these members and they were directed to submit their full and complete SAG, for Committee review. The Committee reviewed the Self-Assessment Guide and Professional Development Plans received from these two members. In both cases, the Committee found that the SAG's were fully completed and done so in some detail. The Committee directed that letters be written to them noting the results of the Committee review and requesting they submit the Declaration in a more timely fashion, in future.

#### Peer Assisted Reviews

The Committee reviewed the findings of the *Peer Assisted Reviews (PAR)* completed to date in the 2012-2013 year. There was also review and discussion of the feedback surveys submitted by the reviewers and the members reviewed. Overall, the reviews were positive, consistent with previous *PAR's* undertaken. There were no specific comments or concerns noted by the reviewers regarding the practices reviewed. As has been the case with past reviews, most members reviewed noted that the process, although stressful, was generally a positive and constructive experience.

One of the reviews was of a member whose practice is in I/O. The report suggested that the current review format seemed more appropriate for a clinical practice than an I/O practice. The Committee suggested that the incoming QA Committee undertake to review the PAR process as well as the SAG with the intent of making them both more I/O relevant and appropriate.

#### Member Education on Spouse Abuse/Domestic Violence

The Committee discussed the online Spousal Abuse/Domestic Violence education course available through the *Centre for Research & Education on Violence Against Women & Children*. One Committee member had completed the first module of this program and reported that it was impressive with many pdf's available to download. It was noted that this will be the subject of part of the Barbara Wand Seminar which will provide a wide audience of members with the opportunity to come to know about it.

#### Quality Assurance Regulation Amendments

The Committee was pleased that the QA Regulation amendments were submitted to the Ministry of Health and Long-Term Care. Over the course of the year, adjustments or revisions were made to the proposed regulation as discussions with the Ministry continued. Specifically, the sections of the Regulation pertaining to selection of members for the *Peer Assisted Review* and the requirements for Continuing Professional Development and Mandatory Education were significantly simplified. Rather than being detailed in the Regulation, the revisions authorize the QA Committee to determine the necessary requirements. The proposed Regulation, with a compilation of these changes, was distributed to the membership for consultation just following the end of the term of the current QA Committee.

# **Fitness to Practice Committee**

#### Introduction

The role of the Fitness to Practice Committee is to conduct hearings in matters referred by the Inquiries, Complaints and Reports Committee concerning the alleged incapacity of a member. The Committee is also responsible for hearing applications for reinstatement by members whose certificate of registration was revoked following incapacity proceedings.

#### Members

The Fitness to Practice Committee of the College of Psychologists consisted of three members of the Council (one public and two professional) and two professional, non-Council members. Members of the Committee for the year 2012-2013 were as follows:

Clarissa Bush, Ph.D., C.Psych. Chair Council
Ruth Berman, Ph.D., C.Psych. Council
Allyson Harrison, Ph.D., C.Psych. Council
Leah Stein-Sagi, M.A., C.Psych.Assoc. College

Ethel Teitelbaum Council, Public Member

College Staff Support Barry Gang, MBA, Dip.C.S., C.Psych.Assoc. Director, Investigations and Hearings

Jean-Michel Trussart Administrative Assistant: Investigations and Hearings Indira Darshanand Administrative Assistant: Investigations and Hearings

**Activities** The Committee did not receive any referrals or conduct any hearings this year.

# **Client Relations Committee**

#### Introduction

Section 84 of the *Procedural Code* of the *Regulated Health Professions Act*, 1991 (RHPA) requires the College of Psychologists to have a Client Relations Committee whose mandate is to enhance relations between members and their clients. The Code outlines some specific responsibilities for the Committee with respect to sexual abuse prevention while allowing the Committee to address a broader spectrum of client-member relations.

#### Members

The Client Relations Committee consists of four members of Council (two public and two professional) and two professional, non-Council members, as well as staff support. Members of the Committee for the fiscal year 2012-2013 were as follows:

Abby Goldstein. Ph.D., C.Psych. (Chair) Council Member Mustag Kahn, Ph.D., C.Psych. Chair, Council Member Valdo Lopo, M.A., C.Psych. College Member Agnieszka Gajdzis, M.A., C.Psych.Assoc. College Member Judy Cohen Public Member Peter McKegney Public Member

# College Staff

Rick Morris, Ph.D., C.Psych. **Support** Julie Hahn

Deputy Registrar/Director, Professional Affairs Practice Advisor/QA Coordinator

#### Activities

#### College Policy Review

The Committee reviewed the Policy Review Schedule as one of the roles of the Committee is to monitor the development and maintenance of the College policies. The Committee's role is not to revise policies but rather to ensure that the policies are reviewed on a timely, scheduled basis by the appropriate Committee. The Committees were notified of the policies needing review and the Client Relations Committee monitored the progress of reviews over the year culminating in presentation to Council for approval of revisions.

#### Funding for Therapy and Counselling:

The Committee discussed the Funding for Therapy and Counselling Program and how it has been used since its inception with the passing of the Regulated Health Professions Act in 1994. Currently, there is one individual receiving funding for therapy under the program. One new application was reviewed with the Committee determining that the individual was eligible for funding. Two other individuals have been deemed eligible by previous Client Relations Committees and notified of their eligibility. As yet, they have not accessed the fund.

#### Delegation of the Controlled Act of Psychotherapy

The College Council directed that: The Client Relations Committee will take on the task of gathering data for the Council to make a decision on the issue of permitting delegation of the new Controlled Act of Psychotherapy.

The Committee reviewed some of the issues related to delegation of the controlled act of psychotherapy recognizing this would not be relevant until proclamation of the amendments to the Regulated Health Professions Act (RHPA) which would make the provision of psychotherapy a restricted activity.

The RHPA establishes a mechanism by which individuals authorized to perform a controlled act may delegate this to a provider who is not otherwise permitted to perform the controlled act. The Committee considered the College position with respect to the controlled act of 'communication of a diagnosis' noting that the College does not permit this to be delegated in any circumstance.

The College position has been that members may not delegate nor accept delegation of authorized acts.

The Committee discussed a number of policy questions related to Delegation and/or Supervision of the controlled act of psychotherapy. In considering these policy issues it was noted that the other health regulatory colleges whose members will be authorized to perform the new controlled act relating to psychotherapy have decided to prohibit delegation of this controlled act.

Specifically, the Committee discussed whether members of the College, who are competent to perform the future controlled act respecting psychotherapy, should be permitted to delegate performance of the new controlled act. If so, should the delegation be permitted to other regulated health professionals who are not authorized to perform the controlled act or unregulated providers? In addition, the Committee began to consider whether members should be permitted to continue to supervise non-members in psychotherapy once this activity becomes an authorized act.

The general feeling of Committee members was that if an individual wished to provide psychotherapy they should take advantage of the opportunity available to them to become registered with the new College of Psychotherapists and Registered Mental Health Therapists. It was seen to be important to consider the message the College would be giving if an individual was able to avoid regulation by a delegation agreement. In this regard it was noted that it is the responsibility of the College to vet members for their competency to practice psychology, which may include psychotherapy. The process of delegation would appear to pass the responsibility for such evaluation of competence of an individual's ability to provide psychotherapy onto a member. There was concern that the College should maintain the role as evaluator of competence.

Overall, the Committee suggested that since the College doesn't permit delegation of controlled acts at present, this should be considered the 'default' position with any change requiring significant reasons for the change. The Committee will continue this discussion and noted a desire to engage in a consultation with the membership around this issue.

#### Public Education

The Client Relations Committee was asked to explore some methods of public education regarding the role of the College and the practice of psychology. It was reported to the Committee that, in acknowledging Psychology Month, the College had recently placed print ads in the Toronto Star, as well as online ads in the Globe and Mail, the Ottawa Citizen and Le Droit. As part of this discussion, the Committee reviewed public education information from other Colleges and the work they have done or are doing to assist the public to be aware of the College and the profession. It was suggested that further study and additional resources may be required to further the development of College public education endeavors.

# Inquiries, Complaints and Reports Committee

#### Introduction

The Inquiries, Complaints and Reports Committee (ICRC) is responsible for the investigation of complaints, as well as the approval and disposition of Registrar's Investigations, with respect to the conduct and competence of members. It is also responsible for inquiries into whether or not a member is incapacitated. As required by statute, every matter is considered by a panel of the Committee comprised of two professional members of the Committee and one member of the Committee appointed to the College by the Lieutenant Governor in Council. After the panel considers all of the relevant information in a matter, it renders a decision to the parties. Except when referring a matter to the Fitness to Practice or Discipline Committees, the Committee provides the parties with written reasons for its decision.

If either party to a complaint is dissatisfied with the adequacy of the Committee's investigation or believes the decision reached is unreasonable, he or she can request a review by the Health Professions Appeal and Review Board (HPARB). The HPARB is an adjudicative tribunal under the Regulated Health Professions Act, 1991 (RHPA). Through reviews, the HPARB monitors the activities of the ICRC to ensure it fulfills its duties in the public interest and as mandated by legislation.

#### Members

#### Professional- Council

Allyson Harrison, Ph.D., C.Psych. - Chair Peter Farvolden, Ph.D., C.Psych. Mustaq Khan, Ph.D., C.Psych. Milan Pomichalek, Ph.D., C.Psych.

#### Professional

Janice Currie, Ph.D., C.Psych.
David Duncan, Ph.D., C.Psych.
Tim Hill, M.A., C.Psych.Assoc.
Lynn A. Stewart, Ph.D., C.Psych.
Glenn Webster, M.Ed., C.Psych.Assoc.

#### **Public Members**

Judy Cohen Ivan McFarlane Ethel Teitelbaum

#### College Staff Support

Barry Gang, MBA, Dip.C.S., C.Psych.Assoc. Grant Daley Mona McTague Lee-Ann Siu Zimra Yetnikoff Jean-Michel Trussart

Indira Darshanand

Director: Investigations and Hearings
Case Manager: Investigations and Resolutions

Hearings

Administrative Assistant: Investigations and Hearings

Administrative Assistant: Investigations and

Hearing

#### **Activities**

#### **Investigations and Resolutions**

During the 2012-2013 fiscal year the College received 115 informal reports of concern about members' practices which were resolved without the need for investigation. The College initiated the investigation of 76 formal complaints and 7 Registrar's Investigations.

These investigations relate to the following services:

Investigations initiated, listed by nature of service June 1, 2012-May 31, 2013

Administration	1
Capacity Assessment	2
Consultation	1
Corrections Assessment	2
Custody & Access / Child Welfare Assessment	7
Educational Assessment	8
Industrial / Occupational Assessment	2
Neuropsychological Assessment	2
Not Related to Psychological Services	9
Other Psychological Assessment	4
Psychotherapy / Counseling	20
Rehabilitation / Insurance Assessment	20
Supervision	4
Teaching / Training	1

Total: 83

#### Dispositions Reached During the Year

During the 2012-13 fiscal year, the ICRC disposed of 71 cases. Although each case reflected investigation of more than one issue, the following dispositions represented the most significant disposition made within each case:

Administrative Withdrawal	8
Other – Advice	16
Other - Take no Further Action	21
Other - Oral Caution	2
Other - Oral Caution and Undertakings	1
Other - Written Caution	11
Other - Written Caution and Undertakings	3
Referral to the Discipline Committee	4
Take No Action, if Complaint Frivolous,	5
Vexatious, Made in Bad Faith, Moot or otherwise an Abuse of Process	

Total: 71

Review by the Health Professions Appeal and Review Board (HPARB) of 12 Decisions was requested by complainants and/or members. HPARB issued 11 Decisions, including those for reviews requested in previous years, all confirming the College's Decisions. It also gave notice it would not proceed with 1 matter and granted 1 request to withdraw a request for review.

# **Discipline Committee**

#### Introduction

The Discipline Committee conducts hearings into allegations of misconduct and/or incompetence, referred by the Inquiries, Complaints and Reports Committee. The Committee is also responsible for holding hearings of applications for the reinstatement of a certificate of registration which has been revoked as a result of a disciplinary proceeding.

#### Members

#### Council:

Robert Gauthier, M.Sc., M.Ed., C.Psych.Assoc.(Chair) Ruth Berman, Ph.D., C.Psych. Peter Farvolden, Ph.D., C.Psych. Abby Goldstein, Ph.D., C.Psych. Allyson Harrison, Ph.D., C.Psych. Mustaq Khan, Ph.D., C.Psych. Jane Ledingham, Ph.D., C.Psych. Lise Mercier, Ph.D., C.Psych. Milan Pomichalek, Ph.D., C.Psych. Public Members: Judy Cohen Vincent Lacroix Ivan McFarlane Peter McKegney Ethel Teitelbaum

#### College:

Mary Bradley, M.A.Sc., C.Psych.Assoc. Clarissa Bush, Ph.D., C.Psych. Jan Heney, Ph.D., C.Psych. Nina Josefowitz, Ph.D., C.Psych. Maggie Mamen, Ph.D., C.Psych. Mary Ann Mountain Ph.D., C.Psych. Pamela Wilansky-Traynor, Ph.D., C.Psych.

Glenn Webster, M.Ed., C.Psych.

#### Staff Support

Barry Gang, MBA, Dip.C.S., C.Psych.Assoc. Director: Investigations and Hearings Jean-Michel Trussart, Indira Darshanand Administrative Assistants: Investigations and Hearings

# Matters before the Committee

The Committee held hearings of allegations of Professional Misconduct against four members. Information about each of the hearings is available on the public portion of the College Register and can be accessed by following these links:

Vincent Lo, Ph.D. C. Psych.

https://members.cpo.on.ca/public register/show/2238

Gregory Carter, M.A. C. Psych. Assoc.

https://members.cpo.on.ca/public\_register/show/20092

Christina Papadapoulis, Dip. C.S., C. Psych. Assoc. <a href="https://members.cpo.on.ca/public\_register/show/19048">https://members.cpo.on.ca/public\_register/show/19048</a>

Rov Bernard Raghunan, Ph.D., C. Psych.

https://members.cpo.on.ca/public\_register/show/1241

#### THE COLLEGE OF PSYCHOLOGISTS OF ONTARIO

#### REPORT OF THE INDEPENDENT AUDITOR ON SUMMARY FINANCIAL STATEMENT

#### TO THE MEMBERS OF THE COUNCIL OF THE THE COLLEGE OF PSYCHOLOGISTS OF ONTARIO

The accompanying summary financial statements of The College of Psychologists of Ontario (the "College"), which comprise the summary statement of financial position as at May 31, 2013, and the summary statement of operations for the year then ended, are derived from the audited financial statements of the College for the year ended May 31, 2013. We expressed an unmodified audit opinion on those financial statements in our report dated October 4, 2013.

The summary financial statements do not contain all the disclosures required by Canadian accounting standards for not-for-profit organizations. Reading the summary financial statements therefore, is not a substitute for reading the audited financial statements of the College.

#### Management's Responsibility for the Summary Financial Statements

Management is responsible for the preparation of a summary of the audited financial statements in accordance with Canadian accounting standards for not-for-profit organizations.

#### **Auditor's Responsibility**

Our responsibility is to express an opinion on the summary financial statements based on our procedures, which were conducted in accordance with Canadian Auditing Standard (CAS) 810, "Engagements to Report on Summary Financial Statements".

#### Opinion

In our opinion, the summary financial statements derived from the audited financial statements of the College for the year ended May 31, 2013 are a fair summary of those financial statements, in accordance with Canadian accounting standards for not-for-profit organizations.

Toronto, Ontario October 4, 2013 CHARTERED ACCOUNTANTS Licensed Public Accountants

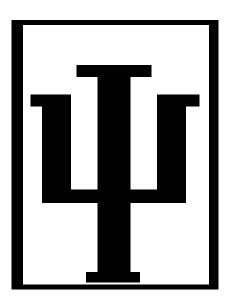
Charke Herning LLP

#### SUMMARY STATEMENT OF FINANCIAL POSITION

#### AS AT MAY 31, 2013

ASSETS Current assets		2013		2012
Current assets				
Cash and equivalents	\$	2,480,639	\$	3,759,062
Prepaid expenses and sundry receivables	_	27,568	•	35,77
Investments - short term		4,578,654		2,409,89
		7,086,861		6,204,729
Investments - long term		318,278		579,662
Property and equipment		237,312		254,10
Troperty and equipment		237,312		234,10
		7,642,451		7,038,498
LIABILITIES				
Current liabilities				
Accounts payable and accrued liabilities		295,094		199,43
Registration fees received in advance		2,378,646		2,251,52
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NIDW LOOPING		2,673,740		2,450,958
NET ASSETS				
Invested in capital assets		237,312		254,10
Internally restricted reserve funds		2,862,303		2,862,30
Unrestricted		1,869,096		1,471,130
		4,968,711		4,587,54
		7,642,451		7,038,498
SUMMARY STATEMENT OF OPERATIONS YEAR ENDED MAY 31, 2013				
Revenues				
Registration fees		2,884,121		2,770,27
Examination fees		154,410		119,37
Interest and miscellaneous income		105,152		96,42
		3,143,683		2,986,073
Evnances				, ,
Expenses		1,975,410		2,043,07
Administration				106,01
		91,505		100,01
Administration		91,505 245,284		
Administration Professional services				217,11
Administration Professional services Investigations, hearings and resolutions		245,284		217,11 158,10
Administration Professional services Investigations, hearings and resolutions Examination and seminar costs Governance Registration		245,284 224,787		217,11 158,10 77,42
Administration Professional services Investigations, hearings and resolutions Examination and seminar costs Governance Registration Professional organizations		245,284 224,787 96,769		217,11 158,10 77,42 52,56
Administration Professional services Investigations, hearings and resolutions Examination and seminar costs Governance Registration		245,284 224,787 96,769 43,860 25,104		217,11 158,10 77,42 52,56 26,85
Administration Professional services Investigations, hearings and resolutions Examination and seminar costs Governance Registration Professional organizations		245,284 224,787 96,769 43,860		217,11 158,10 77,42 52,56 26,85 66,37
Administration Professional services Investigations, hearings and resolutions Examination and seminar costs Governance Registration Professional organizations Communication, education and training		245,284 224,787 96,769 43,860 25,104 44,554		217,11 158,10 77,42 52,56 26,85 66,37 24,90 2,772,42

# THE COLLEGE OF PSYCHOLOGISTS OF ONTARIO L'Ordre des psychologues de L'Ontario



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