

### The *e*-Bulletin

THE COLLEGE OF PSYCHOLOGISTS OF ONTARIO L'ORDRE DES PSYCHOLOGUES DE L'ONTARIO Regulating Psychologists and Psychological Associates

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#### **President's Message**

We must be into the fall season because the days are shorter and there is more work, but it was difficult to know that by the weather.

**READ MORE** 

#### **Controlled Act of Psychotherapy to be Proclaimed**

The Ministry of Health and Long-Term Care has informed the College that it anticipates that proclamation of the sections of the Regulated Health

Professions Act, 1991 pertaining to the Controlled Act of Psychotherapy will occur before the end of December 2017. Once these sections are proclaimed, members of the College along with members of the other Colleges authorized to perform the controlled act, will have access to the title "Psychotherapist". The Ministry has also indicated that it is considering regulatory changes to mitigate potential impacts proclamation may have on other providers in the mental health sector. The College will notify members if any regulations are posted for public comment on the government's Regulatory Registry and will also provide member updates as further information is obtained.

# Recent Important Changes to the *Personal Health Information Protection Act*: Duty to Notify the Information and Privacy Commissioner of Theft, Loss or Unauthorised Use of Disclosure of Personal Health Information

Amendments to *PHIPA* came into effect on October 1, 2017 which require a HIC also to report certain privacy breaches to the Information and Privacy Commissioner of Ontario (IPC).

READ MORE

#### **Do You Supervise Registered Psychotherapists?**

The College of Registered Psychotherapists of Ontario (CRPO) is gathering information regarding the skills, knowledge and experience needed to provide effective clinical supervision. They are asking for the assistance of members of the College of Psychologists who are supervising, or have supervised, Registered Psychotherapists within their registration process. If you have been involved in this way, the CRPO would be very interested in hearing from you through their brief survey. Completing the survey should take less than 10 minutes. The CRPO asks that you complete the survey by November 24, 2017.

#### Canadian Code of Ethics for Psychologists: Fourth Edition

By-law 16: Codes of Ethics and Practice for Members of the College of Psychologists states that:

"16.1 As a Code of Ethics, the College has adopted the Canadian Code of Ethics for Psychologists, Canadian Psychological Association, as revised from time to time."

In January 2017, the Board of Directors of the Canadian Psychological Association (CPA) approved the Fourth Edition of the Canadian Code of Ethics for Psychologists

This revision replaces the *Third Editi*on as the *Code* adopted by the College for members.

## Questions and Answers about the 2017 Standards of Professional Conduct and Mandatory Continuing Professional Development Program

The College has received many thoughtful and thought provoking questions from members who wish to ensure that they have a good understanding of the 2017 version of the Standards of Professional Conduct and the requirements of the Mandatory Continuing Professional Development (CPD) program, both of which are now in force.

We are pleased that members are asking questions and wish to make questions and answers to frequently asked questions, as well as other questions we believe have broad relevance to members, easily available.

### Questions and Answers about the 2017 Standards of Professional Conduct

We welcome additional questions about the 2017 Standards at standards@cpo.on.ca.

#### Questions and Answers about the new mandatory CPD program

We welcome additional questions about the CPD program at: <a href="mailto:cpd@cpo.on.ca">cpd@cpo.on.ca</a>.

### Consultations on Proposed Amendments to By-Law 18: Fees and Bylaw 20: Election to Council

The College has posted proposed amendments to two bylaws and is seeking feedback from stakeholders. The details can be found on the Consultations page of the College website.

READ MORE

### Barbara Wand Seminar in Professional Ethics, Standards and Conduct - Half Day -January 22, 2018 - Save the Date

The Barbara Wand Seminar in Ethics, Standards and Conduct will be held on January 22, 2018. Registration will be free for members of the College as

well as graduate psychology students. Watch your email for the registration form.

The most recent Barbara Wand Seminar is still available for viewing. Please use the link you received during registration.

#### **Election to Council 2018**

Elections to the College Council will take place in March 2018 for the three-year term beginning June 2017. Elections will be held for three Council seats: District 5 (GTA East), District 6 (GTA West) and Psychological Associate (Non-Voting). A call for nominations will be distributed to all eligible voters in December.

READ MORE

#### **Council Highlights**

Highlights from the Council meeting held on September 15, 2017.

**READ MORE** 

#### **Expert and Mentor: Call for Volunteers**

The Inquiries, Complaints and Reports Committee (ICRC) would like to establish a roster of members in all areas of practice should an Expert of Peer Mentor be required.

READ MORE

#### Financial Audit 2016-2017

The College's financial audit for the 2016-2017 fiscal year has been completed. The Summary Report is now available.

**VIEW AUDIT REPORT** 

#### Inquiries, Complaints and Reports Committee (ICRC) Activities

The 2017-2018 1st quarter ICRC report is now available.

**VIEW ICRC REPORT** 

#### **Council Meeting Materials Available for Download**

The College posts the materials which support the items for discussion at the

quarterly Council meetings. These materials are available one week prior to the meeting and are maintained, along with the approved minutes, in the Resources section of the website.

#### **Changes to the Register**

Since July 2017, there have been many changes to the College Register as new Certificates of Registration were issued or members retired or resigned.

**View Changes to the Register** 

#### **Upcoming Council Meetings**

December 1, 2017 March 9, 2018 June 22, 2018

We welcome observers to our meetings. Materials will be posted to the website one week in advance. Please advise the College of your wish to attend by calling 416-961-8817 or emailing <a href="mailto:cpo@cpo.on.ca">cpo@cpo.on.ca</a>.

### President's Message

We must be into the fall season because the days are shorter and there is more work, but it was difficult to know that by the weather. I felt cheated by the cool summer, but it seems that the fall made up for it with sunny and unseasonably warm days. I was in Algonquin to see the 'colours' but most of the leaves were still green; I think many tourists were disappointed! I trust that your start to the fall season was a good one.

As in past years, the College Council participated in a training day on September 14<sup>th</sup>, prior to the regular September Council meeting. A significant initiative for the Council in the past few months had been for each Council member to participate in a "Board/Governance" self-assessment process. This process gave the Council an opportunity to be self-reflective on how it functions and on its governance practices. A significant aspect of the training day was the review of the Self-Assessment Report to build a common understanding of the results and to consider how to use these, going forward.

The training day also included a presentation by the Healthcare Insurance Reciprocal of Canada (HIROC) about Enterprise Risk Management (ERM). This included a presentation of HIROC'S complimentary software program that provides an integrated method of identifying risks and opportunities that are relevant to an organization's objectives; assessing these risks in terms of likelihood and magnitude of impact; determining a response strategy; and, monitoring progress. The Council undertook this learning as it serves the public interest for the Council to identify and proactively address risks the College may encounter.

The formal Council meeting, held the next day, afforded the Council further opportunity to reflect on the work of the College via the process of receiving and approving the Annual Reports from the College Committees. As a follow-up to the training day, Council decided to work with HIROC to implement their risk management process.

Following a review and discussion with the College auditors, the Council approved the Audited Financial Statements for the year ending May 31, 2017. The auditors indicated that the College is in a financially sound position. As part of the discussion about finances, Council decided to increase funds allocated to some of the reserve funds and to strike a working group tasked to consider ways in which the College can provide further service to the public, members, and other stakeholders.

There were a few notable policy issues on the Council meeting agenda. The Council approved the proposed changes to *By-Law 21: Committee Composition* after discussing member feedback to the consultations about these changes. Council also approved revisions to *By-Law 18: Fees* and *By-Law 20: Election to Council, Qualifications, Terms of Office and Conditions for Disqualification* for circulation to the membership. These consultations will be open until November 27, 2017 and are posted on the homepage of the <u>College website</u>. Finally, Council decided to postpone further formal discussions about potential transparency initiatives until the Ministry has completed its work with regards to Bill 87.

Lynette Eulette	Ph.D.,	C.Psych
President		

# Recent Important Changes to the *Personal Health Information Protection Act*:

# Duty to Notify the Information and Privacy Commissioner of Theft, Loss or Unauthorized Use or Disclosure of Personal Health Information

The <u>Personal Health Information Protection Act, 2004</u> (PHIPA) is designed to ensure the confidentiality, security and accessibility of health information in Ontario.

Under existing provisions in s. 17.1 of *PHIPA*, a Health Information Custodian (HIC) is required to report certain breaches of confidentiality to an Ontario health regulatory College or the Ontario College of Social Workers and Social Service Workers, if the individual responsible for the breach is a member of the College.

Amendments to *PHIPA* came into effect on October 1, 2017 which require a HIC also to report certain privacy breaches to the Information and Privacy Commissioner of Ontario (IPC). These breaches include:

- Unauthorized use or disclosure of personal health information if the person committing the breach knew
  or ought to have known that the disclosure was not permitted, even where the unauthorized use or
  disclosure was not done for a personal or malicious motive. This is not the case when the breach was
  accidental, unless it also falls under one of the other reportable categories below;
- Theft of information which has been stored in any medium, including theft as a result of the use of ransomware or other malware attack, or where the information has been seized through the use of a portable storage device. It is not necessary to notify the IPC if the stolen information was de-identified or properly encrypted;
- 3. Further use or disclosure of the information without authority after an initial breach;
- 4. A pattern of similar breaches, even if a privacy breach was accidental or insignificant by itself;
- 5. A breach that one would have already been required to report to a health regulatory College, under s. 17.1 of *PHIPA*, prior to these amendments
- 6. A breach by an agent of a Health Information Custodian that the HIC would have had to report to the College, if the agent were a member of a health regulatory College.
- 7. Significant breaches of confidentiality even if none of the above six circumstances apply, including breaches that do not result in any harm.

It is important to remember that even if there is no obligation to notify the IPC, there may be a separate duty to notify the individuals whose privacy has been breached under section 12(2) of *PHIPA*.

In addition to the requirement to report the events summarized above, beginning on January 1, 2018 Health Information Custodians will be required to track privacy breach statistics and provide the IPC with an annual report of the previous calendar year's statistics, starting in March 2019. The IPC will release detailed guidance on this statistical reporting requirement in fall 2017.

More detailed information, including examples of the types of breaches which must be reported to the IPC may be found at:

https://www.ipc.on.ca/wp-content/uploads/2017/08/health-privacy-breach-notification-guidelines.pdf



### THE COLLEGE OF PSYCHOLOGISTS OF ONTARIO L'ORDRE DES PSYCHOLOGUES DE L'ONTARIO

### Consultation on Amendments to *By-law 18: Fees*September 28, 2017

#### Introduction

At the meeting of the Council of the College of Psychologists held on September 15, 2017, a motion was passed to circulate proposed amendments to *By-Law 18: Fees*. According to the Health Professions Procedural Code under the *Regulated Health Professions Act, 1991*, amendments to this By-law must be circulated to members 60 days before it is approved by Council [94(1)(s), 94(2)]. If you wish to comment on the following proposal, we would appreciate hearing from you by Monday, November 27, 2017.

Please note that the By-law amendment <u>does not</u> contain a proposal for a change in annual member renewal fees.

#### Rationale

Over the past year, a number of matters have arisen suggesting the need for amendments to *By-law 18: Fees*. The By-law was previously reviewed in 2016-2017 with changes made to the fees charged for new member examinations and for professional corporation applications and renewals. No changes to College membership fees are being considered at this time. The amendments being proposed relate to:

- 1. Housekeeping deletion of section related to anticipated proclamation of the Registration Regulation which has subsequently been proclaimed;
- Administration Fee charged to members who do not submit their Quality Assurance documentation on time and as required;
- 3. Professional Corporation fees for non-renewal of the Certificate of Authorization on time; and,
- 4. Fees Related to Orders and Directions of the College;

#### **Background**

#### 1. Housekeeping Amendment

When *By-law 18: Fees* was amended in December 2014, section 18.4 was inserted in anticipation of the proclamation of the amended Registration Regulation. This did not affect member fees but was inserted to ensure the language of the By-law was consistent with that of the anticipated Regulation. The amended Registration Regulation was proclaimed in April 2015 and therefore, this transitional section is no longer required.

#### 2. Quality Assurance Administration Fee

Currently, By-law 18: Fees states that:

18.7 A fee of \$25.00 shall be paid by a member who is sent a second reminder letter notifying the member of failure to complete the mandatory Declaration of Completion related to the Self-Assessment Guide and Professional Development Plan.

Since this section of the By-law was introduced, there have been changes to the Quality Assurance Program which expand the mandatory requirements to include those associated with continuing professional development. In addition, the Quality Assurance Committee has determined that \$25.00 is insufficient both in terms of the considerable administration time spent following up with some members who do not submit the required forms or as an incentive to members to complete the requirements on time.

In consideration of the above, the proposed amendment would capture both the expanded mandatory requirements and increase the administration fee charged. The amended wording, to be included in a new section entitled *Fees Related to Orders and Directions of the College* would read:

18.19(b) For the College's Quality Assurance Program, a fee of \$100 for failure to complete any of the mandatory requirements of the College's Quality Assurance Program within the timelines established by the Quality Assurance Committee.

#### 3. Professional Corporation Fee

Currently, By-law 18: Fees states that:

18.17 A professional corporation or a member listed in the College's records as a shareholder of a professional corporation shall pay an administrative of \$50.00 for each notice sent by the Registrar to the corporation or member for failure of the corporation to renew its certificate of authorization on time. The fee is due within 30 days of the notice being sent.

The amendment to *By-law 18: Fees* would delete this section. Since this section of the By-law was introduced, there have been changes in the process used to notify members regarding outstanding renewal of the certificate of authorization for their corporation. The College no longer sends reminders of overdue renewals but rather issues the required 60-day Notice of Revocation on past due renewals. This Notice serves as the reminder and is then enforced at the end of the 60-day period. There is no administration fee charged for the issuance of the Notice.

#### 4. Fees Related to Orders and Directions of the College

Currently, *By-law 18: Fees* does not speak to the College's authority to require a member to pay for costs associated with any order or direction that a Committee of the College is authorized, by statute, to make with respect to a member. This includes the authority of the Discipline

and/or Fitness to Practice Committee to impose orders requiring a remedial, educational, mentorship and/or monitoring components which have associated costs. Included also are fees for monitoring, supervision, or assessment pursuant to a decision of the Registration Committee or for Specified Continuing Education or Remedial Programs (SCERP) or other orders imposed under the authority of the Inquiries, Complaints and Reports Committee. The amendment to *By-law-18* is proposed to make clear and transparent that costs associated with such orders or directions of the College are the responsibility of the member.

In keeping with this, a new section is added to *By-law 18: Fees* to read:

#### **Committee and Program Fees**

- 18.20 The Registrar may charge members a fee for anything that a committee of the College is required or authorized to do under statute or regulations.
- 18.21 Committee and program fees include, but are not limited to, the following:
  - (a) Cost of hearings or other items ordered by the Discipline Committee;
  - (b) For the College's Quality Assurance Program, a fee of \$100 for failure to complete any of the mandatory requirements of the College's Quality Assurance Program within the timelines established by the Quality Assurance Committee;
  - (c) For individual education or remediation programs, the fee charged by and payable to the supervisor, monitor, mentor or program;
  - (d) For monitoring, supervision, or assessment pursuant to a decision of the Registration Committee, the fee charged by and payable to the monitor, supervisor, mentor or assessor;
  - (e) Fees and/or costs related to activities, including but not limited to programs and assessments, referred to in acknowledgements and undertakings entered into by a member with the College; and,
  - (f) Fees and/or costs related to orders and directions of the College Committees.
- 18.22 Any outstanding balance owed to the College in respect of any decisions made by a Committee, and any fees payable under this By-law will be added to and included in the member's annual fees.

Discussion and approval of these amendments will be on the agenda for the December 2017 meeting of the College Council. If you wish to comment on the proposal we would appreciate hearing from you by Monday, November 27, 2017. You may do so by emailing <a href="mailto:feesbylawconsultation@cpo.on.ca">feesbylawconsultation@cpo.on.ca</a> Thank you for your consideration of this matter.

Rick Morris, Ph.D., C.Psych. Registrar and Executive Director

#### **BY-LAW 18: FEES**

[Approved by Council December 1999; amended March 2001; amended February 2002; amended March 2003; amended March 2004; September 2005, March 27, 2009; December 13, 2013; December 2014; March 2017, December 2017]

This by-law is made under the authority of the Regulated Health Professions Act, 1991 as amended, and the Psychology Act, 1991 as amended.

Note: The requirements for each certificate of registration are set out in the Registration Regulation.

#### Membership

- 18.1 Every member shall pay an annual membership fee in accordance with this by-law for each membership year.
- 18.2 A membership year begins on June 1 in one year and ends on May 31 of the following year.
- 18.3 (a) The annual fee for membership must be paid on or before June 1 in the membership year.
  - (b) Notwithstanding subsection (a), the annual fee for a member holding a Certificate of Registration Authorizing Supervised Practice is payable in two equal instalments on or before June 1 and December 1 in the membership year.
- 18.4 The annual fee for membership is,
  - (a) \$795 for members who hold a certificate of registration for regular status;
    - (1) effective June 1, 2015, \$550 for members who hold a Certificate of Registration Authorizing Supervised Practice
  - (b) \$238.50 for members who hold a certificate of registration for inactive status;
  - (c) \$50 for members who hold a certificate of registration for retired status; and
  - (d) \$397.50 for members who hold a certificate of registration for academic status.
- 18.4 Effective the date that the amended registration regulation comes into force, section 18.4 is repealed and the following substituted: The annual fee for membership is,
  - (a) \$795 for members who hold
    - (1) a Certificate of Registration Authorizing Autonomous Practice,
    - (2) a Certificate of Registration Authorizing Interim Autonomous Practice, or
  - (b) (1) before June 1, 2015, \$795 for members who hold a Certificate of Registration Authorizing Supervised Practice
  - (c)(b) (2) effective June 1, 2015, \$550 for members who hold a Certificate of Registration Authorizing Supervised Practice;
  - (d)(c) \$238.50 for members who hold an Inactive Certificate of Registration;
  - (e)(d) \$50 for members who hold a Retired Certificate of Registration; and
  - (f)(e) \$397.50 for members who hold an Academic Certificate of Registration.

Section to be deleted as new Section 18.4 is now in force with the proclamation of the Registration Regulation in April 2015

Lead-in sentence to be deleted as amended Registration Regulation is now in force

Transitional statement no longer required

- 18.5 No later than 30 days before an annual fee is due, the Registrar shall notify the member of the amount of the fee and the day on which the fee is due.
- 18.6 A member who fails to pay an annual fee on or before the day on which it is due shall pay a penalty of 10 per cent of the annual fee, in addition to the annual fee.

#### **Quality Assurance Program**

18.7 A fee of \$25.00 shall be paid by any member who is sent a second reminder letter notifying the member of failure to complete the mandatory Declaration of Completion related to the Self Assessment Guide and Professional Development Plan.

Section to be deleted. New wording, to be included in a new section entitled Fees Related to Orders and Directions of the College below

**Examinations** 

18.818.7 The fee for the Examination for Professional Practice in Psychology is the fee set by the Association of State and Provincial Psychology Boards and its contractors.

Numbering change from this point on to reflect deletion above

18.918.8 The fee for the Jurisprudence and Ethics Examination is \$200.

18.1018.9 The fee for the oral examination is \$550.

#### **Interviews**

18.1118.10 The fee for an interview is \$500.

#### **Applications**

- 18.12 (a) The fee for an application for a Certificate of Registration Authorizing Supervised Practice is \$230.
  - (b) Notwithstanding subsection (a), the fee for an application for a Certificate of Registration Authorizing Supervised Practice is \$100, if the applicant holds a Certificate of Registration Authorizing Autonomous Practice as a Psychological Associate.
- 18.1318.12 (a) The fee for an application for a Certificate of Registration Authorizing Interim Autonomous Practice is \$100.
  - (b) Where section 22.18 of the Code applies, the fee for an application for a Certificate of Registration Authorizing Autonomous Practice is \$100.
- 18.1418.13 (a) The fee for an application for each of the following certificates is \$100:
  - (1) Academic Certificate of Registration;
  - (2) Inactive Certificate of Registration;
  - (3) Retired Certificate of Registration;
  - (b) Where an applicant for a Certificate of Registration Authorizing Autonomous Practice holds one of the certificates listed in subsection (a), the fee for the application is \$100.

#### **Professional Corporations**

18.1518.14 The fee for the application for, and issuance of, a certificate of authorization, including any reinstatement of a certificate of authorization, for a professional corporation is \$350.

18.1618.15 The fee for the annual renewal of a certificate of authorization is \$250.

18.17 A professional corporation or a member listed in the College's records as a shareholder of a professional corporation shall pay an administrative fee of \$50 for each notice sent by the Registrar to the corporation or member for failure of the corporation to renew its certificate of authorization on time. The fee is due within 30 days of the notice being sent.

Section deleted is unnecessary as described Notices are no longer issued

18.18\_18.16 The fee for the issuing of a document or certificate respecting a professional corporation, other than the first certificate of authorization or the annual renewal of a certificate of authorization, is \$50.

#### **Other Matters**

18.1918.17 The fee for issuance of a document confirming a member's registration status is \$25.

#### **Committee and Program Fees**

- 18.18 The Registrar may charge members a fee for anything that a Committee of the College is required or authorize to do under stature or regulations.
- 18.19 Committee and program fees include, but are not limited to, the following:
  - (a) Cost of hearings or other items ordered by the Discipline Committee;
  - (b) For the College's Quality Assurance Program, a fee of \$100 for failure to complete any of the mandatory requirements of the College's Quality Assurance Program within the timelines established by the Quality Assurance Committee;
  - (c) For individual education or remediation programs, the fee charged by and payable to the supervisor, monitor, mentor or program;
  - (d) For monitoring, supervision, or assessment pursuant to a decision of the Registration Committee, the fee charged by and payable to the monitor, supervisor, mentor or assessor;
  - (e) Fees and/or costs related to activities, including but not limited to programs and assessments, referred to in acknowledgements and undertakings entered into by a member with the College; and,
  - (f) Fees and/or costs related to orders and directions of the College Committees.
- 18.20 Any outstanding balance owed to the College in respect of any decisions made by a Committee, and any fees payable under this By-law will be added to and included in the member's annual fees.

Section added as described in Consultation Note



### THE COLLEGE OF PSYCHOLOGISTS OF ONTARIO L'ORDRE DES PSYCHOLOGUES DE L'ONTARIO

#### Consultation

Amendments to By-law 20: Election to Council, Qualifications, Terms of Office and Conditions for Disqualification September 28, 2017

At the meeting of the Council of the College of Psychologists held on September 15, 2017, a motion was passed to circulate proposed amendments to *By-law 20: Election to Council, Qualifications, Terms of Office and Conditions for Disqualification*. According to the Health Professions Procedural Code under the *Regulated Health Professions Act, 1991*, amendments to this By-law must be circulated to members 60 days before it is approved by Council [94(1)(s), 94(2)]. If you wish to comment on the following proposal, we would appreciate hearing from you by Monday, November 27, 2017.

Beginning in June 2016, the College Council began to consider several amendments to *By-law 20: Election to Council.* . . These included revised criteria for the appointment of academic members, changes to the process for opting into Electoral District 7 (Psychological Associates), and housekeeping amendments related to the use of the online nominations and balloting process.

Click here for a copy of *By-law 20: Election to Council, Qualifications, Terms of Office and Conditions for Disqualification* showing the amendments with an accompanying explanation for the proposals.

The College Council will be discussing these amendments at the December 2017 meeting. If you wish to comment on the proposal we would appreciate hearing from you by Monday, November 27, 2017.

You can submit your feedback to <u>electionsbylawconsultation@cpo.on.ca</u> Thank you for your consideration of this matter.

Rick Morris, Ph.D., C.Psych. Registrar and Executive Director

### BY-LAW 20: ELECTION TO COUNCIL, QUALIFICATIONS, TERMS OF OFFICE AND CONDITIONS FOR DISQUALIFICATION

[Approved by Council December 1999; amended September 2000; September 2003; December 2004; March 27, 2009, December 14, 2012, March 2013]

This by-law is made under the authority of the Regulated Health Professions Act, 1991 as amended, and the Psychology Act, 1991 as amended.

#### **Electoral Districts**

- 20.1 The following electoral districts are established for the purpose of the election of members to the Council.
- 1. Electoral District 1 (North) to be composed of the districts of Kenora, Rainy River, Thunder Bay, Cochrane, Algoma, Timiskaming, Manitoulin, Nipissing, and Parry Sound, and the City of Greater Sudbury.
- 2. Electoral District 2 (Southwest) to be composed of the counties of Bruce, Grey, Huron, Perth, Wellington, Dufferin, Lambton, Middlesex, Oxford, Brant, Essex, Kent, Elgin, Norfolk and Haldimand and the Regional Municipality of Waterloo.
- 3. Electoral District 3 (Central) to be composed of the District Municipality of Muskoka, and the counties of Haliburton, Hastings, Lennox and Addington, Frontenac, Simcoe, Northumberland, and Prince Edward, City of Peterborough, City of Kawartha Lakes and the Regional Municipalities of Halton, Hamilton-Wentworth, and Niagara, and the Regional Municipality of Durham (less the Towns of Whitby and Ajax and the Cities of Pickering and Oshawa), the Regional Municipality of York (less the City of Vaughan, and Towns of Richmond Hill and Markham), and the Regional Municipality of Peel (less the Cities of Mississauga and Brampton).
- 4. Electoral District 4 (East) to be composed of the counties of Lanark, Renfrew, Leeds and Grenville, Prescott and Russell, Stormont, Dundas and Glengarry, and the City of Ottawa.
- 5. Electoral District 5 (GTA East) to be composed of the addresses within the City of Toronto which have postal codes beginning with M1, M2, M3, and M4, and the City of Vaughan, Town of Richmond Hill, Town of Markham, City of Pickering, City of Ajax, Town of Whitby and City of Oshawa.
- 6. Electoral District 6 (GTA West) to be composed of the addresses within the City of Toronto which have postal codes beginning with M5, M6, M7, M8, and M9 and the City of Mississauga and the City of Brampton.
- 7. Electoral District 7 (Psychological Associates) to be composed of the constituency of Psychological Associates.

No changes being suggested to boundaries of electoral districts

8. District 8 (Academic) to be composed of professional training programs in psychology at Post Secondary Educational Institutions in Ontario granting graduate level degrees in psychology.

#### **Eligibility to Vote**

- 20.2 (1) A member who, on the date of the election, holds a certificate authorizing autonomous, interim autonomous, or supervised practice, or an academic, inactive or retired certificate of registration is eligible to vote.
  - (2) The electoral district in which a member is eligible to vote is the district in which, on January 1 of the year in which an election is held, the member principally practises, or if the member is not engaged in the practice of psychology in Ontario, the district in which the member principally resides.
  - (3) A member holding a certificate of registration as a Psychological Associate is eligible to vote in Electoral District 7 or in the <u>electoral</u> district in which the member principally practises or, if the member is not engaged in the practice of psychology in Ontario, the district in which the member principally resides.
    - (a) A member holding a certificate of registration as a Psychological Associate shall—may submit a declaration to declare whether he or she chooses to vote in Electoral District 7 or in the district in which he or she principally practises, or if the member is not engaged in the practice of psychology in Ontario, the district in which the member principally resides. If no choice is made, the member will shall be eligible to vote in Electoral District 7 (Psychological Associate). A member may not change their declaration for three years.
  - (4) A member shall vote in only one electoral district.

#### **District 8 (Academic) Representation**

20.3 The members representing District 8 (Academic) are appointed to Council by the Executive Committee, upon the joint recommendation of the professional training programs in psychology. The professional training programs are composed of faculty in psychology from at Post Secondary Educational Institutions in Ontario granting graduate level degrees in psychology from which graduates are eligible for registration with the College.

#### **Number of Members Elected/Appointed**

- 20.4 (1) The number of members to be elected in each of Electoral Districts 1, 2, 3, 4, 5, 6, and 7 is one.
  - (2) The number of members to be appointed to District 8 (Academic) is two or three.

Change made to reflect revised wording of Registration Regulation proclaimed in April 2015

It has sometimes been difficult to obtain a declaration from some Psychological Associates regarding the district in which they wished to vote even with many reminders.

The use of "shall submit" in the current wording can be interpreted to mean that if a Psychological Associate does not choose one of the options, they would not be eligible to vote. The proposed change will permit a Psychological Associate to choose District 7, and make this the default electoral district if no selection is made.

Clarification provided with respect to who recommends members to represent District 8 (Academic)

#### **Terms of Office**

- 20.5 (1) The term of office of a member elected to the Council is three years.
  - (2) Notwithstanding paragraph (1), the term of office of a member appointed to Council for District 8 (Academic) is two years.

#### **Election/Appointment Date**

- 20.6 (1) In March 2001–2019 and every third year after that, an election of members to the Council shall be held for Electoral Districts 1, 2 and 3, and one member representing District 8 (Academic) shall be appointed during the 2001–2002 fiscal year.
  - (2) In March 2002-2020 and every third year after that, an election of members to the Council shall be held for Electoral Districts 4 and 7., and one member representing District 8 (Academic) shall be appointed.
  - (3) In March 2003-2018 and every third year after that, an election of members to the Council shall be held for Electoral Districts 5 and 6, and one member representing District 8 (Academic) shall be appointed.
  - (4) The Council shall set the date in March for each election of members to the Council.
  - (5) A member elected or appointed to Council shall take office at the first Council meeting following the election or appointment.

#### **Eligibility for Election**

- 20.7 (1) A member is eligible for election to the Council in Electoral Districts 1, 2, 3, 4, 5, and 6 if, on the date of the election,
  - (a) the member holds a certificate of registration authorizing autonomous practice or an inactive certificate of registration;
  - (b) the member is engaged in the practice of psychology in the electoral district for which he or she is nominated, or, if the member is not engaged in the practice of psychology in Ontario, is resident in the electoral district for which he or she is nominated:
  - (c) the member is not in default of payment of any fees prescribed in the fees by-law;
  - (d) the member's certificate of registration has not been revoked or suspended in the six years preceding the date of election; and
  - (e) the member's certificate of registration has not been subject to a term, condition or limitation as a result of a disciplinary action within the last two years.
  - (2) A member is eligible for election to the Council in Electoral District 7 if, on the date of the elections, the member holds a certificate authorizing autonomous practice as a Psychological Associate; and,

Housekeeping amendment to update the schedule to make it current with this revision rather than starting in 2001. Deletion permits "Academic" members to be appointed as needed

This change permits a member with an inactive certificate of registration to be eligible to run for election to Council. Normally, a member is "inactive" for a finite, relatively short period, e.g., sabbatical, parental leave.

- (a) the member meets the criteria set out in section <u>20.</u>7(1) (a), (c), (d) and (e) of this by-law.
- (3) A member nominated for election to Council, who holds any position on the Board, <u>Committee</u> or staff of any professional psychological association <u>involved in advocacy for the profession other than the College</u>, must undertake to resign that position before taking office.
- (4) A member of the College who is also an employee of the College may be nominated for election to the Council so long as he or she first provides an irrevocable, written resignation of employment to the Registrar/Executive Director on or before the deadline for receipt of nominations.

#### **Eligibility for Appointment to District 8 (Academic)**

20.8(1) A member is eligible for appointment to the Council in District 8 if on the day of appointment:

- (a) the member holds a full-time appointment as a faculty member in a CPA accredited or equivalent program in a department of psychology of a degree granting institution in Ontario which grants appointment in a faculty of a department of psychology of a university in Ontario granting graduate level degrees in psychology; and
- (b) the member meets the criteria set out in section <u>20.7(1)</u> (a), (c), (d) and (e) of this by-law.
- (c) Despite paragraph (a), at any given time, one of the members appointed to the Council may:
- i. Have retired within the previous two years and has maintained contact with the training program through clinical or research supervision or participation in other ongoing activities of the training program; or,
- ii.Hold an adjunct appointment in a faculty of a department of psychology of a <u>university degree granting institution</u> in Ontario <u>which granting grants</u> graduate level degrees in psychology <u>and has maintained contact with the training program through clinical or research supervision or participation in other ongoing activities of the training program.</u>
- iii. Have retired within the previous two years and been designated an Emeritus or Emerita member of the faculty of a department of psychology of a university in Ontario granting graduate level degrees in psychology
- (2) <u>Academic appointees will be considered for up to three terms so</u> <u>long as the member of Council continues active involvement with the training program.</u>

Numbering fixed but no change in intent

Clarification of type of psychological association intended, similar to criteria for disqualification (20.16) and "Committees" added. Current wording of "other than the College" is unclear and therefore is deleted

Clarifies the status of members seeking to be an Academic representative

Numbering fixed but no change in intent

Remove "one of the" to suggest that all academic appointees could be from the categories listed

Allows for retired members of faculty who have maintained program involvement to serve

"university" changed to degree granting institution

Notes the requirement to maintain involvement with program as in (c)i

Deleted as Emeritus faculty captured in (c) i

Sets out term limits for Academic members

(3) A member to be appointed nominated for election to Council must undertake to resign, before taking office, from any position on the Board, Committee or staff of any professional psychological association involved in advocacy for the profession.

Registrar to Supervise Nominations

# Wording changed to reflect "appointment" not "election". Type of profession psychological association clarified similar to disqualification criteria (20.16).

#### 20.9 The Registrar shall supervise the nomination of candidates.

Notice of Election and Nominations
20.10 No later than 90 days before the date of an election, the Registrar

shall notify every member who is eligible to vote, of the date, time and place of the election and of the nomination procedure.

#### **Nomination Procedure**

- 20.11. (1) The member may be a candidate for election in only one electoral district in which he or she is an eligible voter.
  - (a) a member recommended by the training programs to serve in District 8 (Academic) may not be nominated in a geographic electoral district.
  - (2) The nomination of a candidate for election as a member of the Council shall be in writing and shall be given provided to the Registrar, in the form established by the Registrar, at least 60 30 days before the date of the election.
  - (3) The nomination shall be <u>signed agreed to</u> by the candidate and <u>endorsed</u> by at least 5 members who support the nomination and who are eligible to vote in the electoral district in which the election is to be held.
  - (4) The Registrar shall, at At least 45 days before the date of the election, the Registrar shall notify every member who is eligible to vote of the nominations received, if any, and if no nominations have been received, shall notify every member that further nominations will be received until 30 days before the date of the election.
  - (5) A candidate may withdraw his or her nomination for election to the Council by giving notice to the Registrar in writing not less than 30 days before the date of the election.
  - (6) No less than 30 days before the date of the election, a member nominated for election shall provide the registrar with a one page biographical note and a candidate's statement.

#### **Acclamation**

20.12. If the number of candidates nominated for an electoral district is equal to the number of members to be elected in the electoral district, the Registrar shall declare the candidates to be elected by acclamation.

#### **Registrar's Electoral Duties**

20.13. (1) The Registrar shall supervise and administer the election of candidates and, for the purpose of carrying out that duty the

College has moved to online election process. New wording permits nominations to be either in writing, online or in some other format as prescribed by the Registrar.

Nomination is due 30 days before, not 60.

Due to online process as noted above, use of "signed" removed

Clarifies that a notice will be sent by the Registrar 45 days before the election of any nominations received and indicating that nominations are open until 30 days before the election.

Registrar may, subject to the by-laws,

- (a) appoint returning officers and scrutineers;
- (b) establish a deadline for the receiving of ballots;
- (c) establish procedures for the opening and counting of ballots;
- (d) provide for the notification of all candidates and members of the results of the election; and,
- (e) provide for the destruction of ballots following an election.
- (2) No later than 15 days before the date of an election, the Registrar shall send make available to every member eligible to vote in an electoral district in which an election is to take place:

i. a list of the candidates in the electoral district,

i-ii. each candidate's biographical note and statement;

iii. the ballot; and,

ii.iv. an explanation of the voting procedure as set out in the by-

Tie Votes

20.14 If there is a tie in an election of members to the Council, the Registrar shall break the tie by lot.

**Recounts** 

20.15. (1) A candidate may require a recount by giving a written request to the Registrar no more than 10 business days after the date of an election.

(2) The Registrar shall hold the recount no more than five business days after receiving the request.

**Interruption of Mail Service** 

20.16. Where there is an interruption of mail service during a nomination or election, the Registrar shall extend the holding of the nomination or election for such period of time as the Registrar considers necessary to compensate for the interruption.

**Disqualification of Elected or Appointed Members** 

- 20.17.20.16. (1) The Council shall disqualify an elected or appointed member from sitting on the Council if the elected or appointed member.
  - (a) is found to have committed an act of professional misconduct or is found to be incompetent by a panel of the Discipline Committee;
  - (b) is found to be an incapacitated member by a panel of the Fitness to Practise Committee;
  - (c) fails, without cause, to attend two consecutive regular meetings of the Council:
  - (d) fails, without cause, to attend two consecutive meetings of a committee of which he or she is a member;
  - (e) ceases either to practise or reside in the electoral district for which the member was elected;
  - (f) continues to be or becomes a member of the Board. <u>Committee</u> or a staff member of any professional

Wording changed to make language more consistent with online running of the elections. "Make available" permits this to be on paper or online.

Section deleted as election process is now online

Renumbered due to deletion regarding "Interruption of Mail Service"

"Committee" added.
As with section on Eligibility

- psychological association involved in advocacy for the profession other than the College;
- (g) ceases to hold a certificate of registration for a Psychological Associate if the member was elected to Electoral District 7;
- (h) ceases to meet the requirements of section 20.8 (1) hold a full-time appointment in a faculty of a department of psychology of a university in Ontario granting graduate level degrees in psychology if the member was appointed to District 8; or,
- (i) has been convicted of contravening a federal, provincial or territorial law, and after consideration of all of the circumstances, two-thirds of the eligible voting members of Council vote to disqualify the member.
- (2) An elected or appointed member of the Council may submit an application for any position of employment with the College so long as he or she first provides an irrevocable written resignation of his or her Council seat to the President. Upon provision of such resignation the member shall be immediately disqualified from sitting on the Council.
- (3) An elected or appointed member who is disqualified from sitting on the Council ceases to be a member of the Council.

**Filling of Vacancies** 

- 20.18.20.17. (1) If the seat of an elected Council member becomes vacant in an electoral district not more than twelve months before the expiry of the member's term of office, the Council may,
  - (a) leave the seat vacant;
  - (b) appoint as an elected member, the candidate, if any, who had the most votes of all the unsuccessful candidates in the last election of Council members for that electoral district; or
  - (c) direct the Registrar to hold an election in accordance with this by-law for that electoral district.
  - (2) If the seat of a Council member appointed to represent District 8 (Academic) becomes vacant in an electoral district not more than twelve months before the expiry of the member's term of office, the Council may,
    - (a) leave the seat vacant; or
    - (b) direct the Executive to appoint a member to represent District 8 (Academic), upon joint the recommendation of the training programs.
- (3) If the seat of an elected Council member becomes vacant in an electoral district more than twelve months before the expiry of the member's term of office, the Council shall direct the Registrar to hold an election in accordance with this by-law for that electoral district.
- (4) If the seat of a member appointed to represent District 8 (Academic) becomes vacant in an electoral district more than twelve months before the expiry of the member's term of office, the Executive

(20.7) above, wording of "other than the College" is unclear and therefore is deleted

Wording simplified as it relates to all of 20.8(1)

shall appoint a member to represent District 8 (Academic) upon the joint recommendation of the training programs.

(5) The term of a member <u>elected or</u> appointed under clause<u>s</u> (1), (2), (3) or (4) (b), (2)(b) or <u>subsection</u> (4), or <u>elected in an election</u> under clause (1)(c) or <u>subsection</u> (3) shall continue until the time the former Council member's term would have expired.

Wording simplified as this sections refers to sections listed above

#### **Election to Council 2018**

Elections to the College Council will take place in March, 2018 for the three-year term beginning June 2017. Two Council seats are up for election: District 5 (GTA East) and District 6 (GTA West). A call for nominations will be distributed to all eligible voters in December.

Electoral District 5 (GTA East) is composed of the addresses within the City of Toronto which have postal codes beginning with M1, M2, M3, and M4, and the City of Vaughan, Town of Richmond Hill, Town of Markham, City of Pickering, City of Ajax, Town of Whitby and City of Oshawa.

Electoral District 6 (GTA West) is composed of the addresses within the City of Toronto which have postal codes beginning with M5, M6, M7, M8, and M9 and the City of Mississauga and the City of Brampton.

Members wishing to seek nomination are required to have their nomination supported by five nominees who are eligible to vote in the district. The call for nominations, to be distributed in December, will provide links to further information and nomination forms which will be posted on the College website.

### Council Highlights – September 15, 2017

#### **Policy Issues**

#### **Consultations**

Proposed amendments to two By-laws were approved for circulation: *By-law 20: Election to Council, Qualifications, Terms of Office and Conditions for Disqualification;* and, *By-Law 18: Fees.* These have been distributed as well as posted to the <u>College website</u>. Feedback is requested by November 27<sup>th</sup>.

Council approved amendments to *By-law 21: Committee Composition* after reviewing feedback received during the consultation period. This feedback was included in the <u>Council Materials</u> and may be found the Resources section of the website.

#### Policy III F-4 Per Diems and Council and Committee Compensation

The revised *Per Diems and Council and Committee Compensation* policy was approved and will be incorporated into the College's *Policies and Procedures Manual*. The revision pertained to compensation for Committee work when the amount of preparation time required to review meeting materials was extraordinarily large. A copy of the Briefing Note reviewed by Council may be found in the <u>Council Materials</u> in the Resources section of the website.

#### **Business Issues**

#### **Reserve Funds**

A revised *Reserve Funds* policy was approved and will be incorporated into the College's *Policies and Procedures Manual*. A copy of the policy, with the revisions, may be found in the <u>Council Materials</u> in the Resources section of the website.

#### **Annual Financial Audit**

Following discussions with the College's auditors, Council received and approved the Annual Financial Statements for the fiscal year ending May 31, 2017. The Summary Audited Financial Statement will be included in the 2016-2017 Annual Report and is included in the this newsletter.

#### Other Business

The next meeting of Council will be held on December 1, 2017.

### **Expert and Mentor: Call for Volunteers**

The Inquiries, Complaints and Reports Committee (ICRC) is composed of a mix of practicing psychologists, psychological associates and public members of Council. From time to time, the ICRC requires members of the profession to provide expert opinions in the context of a complaint or report. If a case is referred to the Discipline Committee for a hearing, the expert may be asked to testify about their opinion.

ICRC matters that have been dealt with by way of an *Undertaking and Agreement* or a *Specified Continuing Education or Remediation Program* (SCERP) sometimes include a peer mentorship component as specified by the ICRC. In these cases the Registrar appoints a member of the College to act as a Peer Mentor.

The College would like to establish a roster of members in all areas of practice and in all parts of the Province to call upon should an Expert or Peer Mentor be required. The College is seeking members of the profession who:

- Have worked as an autonomously practicing psychologist or psychological associate in Ontario for a minimum of 5 years;
- Are well versed in the standards of the profession;
- Are not currently serving on the College Council or any College Committee;
- With respect to providing expert opinions, are able to review an ICRC investigation record or Registrar's report, formulate an opinion regarding professional conduct, convey this opinion in writing, and be prepared to testify before the Discipline Committee regarding the opinion should the matter be referred to Discipline;
- With respect to acting as Peer Mentors, are able to assist the member in fulfilling the terms of the *Undertaking and Agreement* or *SCERP*, which may include: meeting with the member on a regular, time-limited basis, reviewing clinical records and/or administrative and office practices, developing and applying an educational module, and reporting on the Peer Mentorship to the Registrar; and
- Undertake to keep confidential all information obtained in the course of acting on behalf of the College.

The College compensates experts for their time at their usual hourly rate, up to the current maximum rate recommended by the OPA. Compensation of Peer Mentors is generally the responsibility of the member, and must be agreed to by the member and Peer Mentor.

If you are interested in being added to this roster, please complete the following survey. In filling out the <u>survey form</u>, you will be asked to provide your name, contact information, and information about your practice and experience. Should the College contact you to act as an Expert or Peer Mentor, you will also be asked to provide a copy of your current CV.

The College would like to thank all those who volunteer to be added to the roster. The College will contact potential Experts and Peer Mentors on an as-needed basis, should their area of practice, client population, experience and geographic location be suitable to the specific case.

Link to Survey form: http://survey.constantcontact.com/survey/a07ecvxne7fiq0zvjuw/start

#### THE COLLEGE OF PSYCHOLOGISTS OF ONTARIO

#### REPORT OF THE INDEPENDENT AUDITOR ON SUMMARY FINANCIAL STATEMENT

#### TO THE MEMBERS OF THE COUNCIL OF THE THE COLLEGE OF PSYCHOLOGISTS OF ONTARIO

The accompanying summary financial statements of The College of Psychologists of Ontario (the "College"), which comprise the summary statement of financial position as at May 31, 2017, and the summary statement of operations for the year then ended, are derived from the audited financial statements of the College for the year ended May 31, 2017. We expressed an unmodified audit opinion on those financial statements in our report dated September 15, 2017.

The summary financial statements do not contain all the disclosures required by Canadian accounting standards for not-for-profit organizations. Reading the summary financial statements therefore, is not a substitute for reading the audited financial statements of the College.

#### Management's Responsibility for the Summary Financial Statements

Management is responsible for the preparation of a summary of the audited financial statements in accordance with Canadian accounting standards for not-for-profit organizations.

#### **Auditor's Responsibility**

Our responsibility is to express an opinion on the summary financial statements based on our procedures, which were conducted in accordance with Canadian Auditing Standard (CAS) 810, "Engagements to Report on Summary Financial Statements".

#### **Opinion**

In our opinion, the summary financial statements derived from the audited financial statements of the College for the year ended May 31, 2017 are a fair summary of those financial statements, in accordance with Canadian accounting standards for not-for-profit organizations.

Toronto, Ontario September 15, 2017 Chartered Professional Accountants Licensed Public Accountants

2017

2016

Hilbora LLP

#### SUMMARY STATEMENT OF FINANCIAL POSITION

#### AS AT MAY 31, 2017

ASSETS		
Current assets		
Cash and cash equivalents	\$ 4,052,984	\$ 6,838,388
Prepaid expenses and sundry receivables	46,345	73,584
Investments - short term	4,542,827	1,120,052
	8,642,156	8,032,024
Investments - long term	97,595	353,116
Property and equipment	177,335	203,089
тторсту ани суприст	<u> </u>	·
	8,917,086	8,588,229
LIABILITIES		
Current liabilities		
Accounts payable and accrued liabilities	353,512	271,600
Registration fees received in advance	2,702,876	2,680,868
	3,056,388	2,952,468
NET ASSETS	, ,	, ,
Invested in capital assets	177,335	203,089
Internally restricted reserve funds	3,685,014	3,278,935
Unrestricted	1,998,349	2,153,737
Onestreed	5,860,698	5,635,761
	2,000,050	3,033,701
	0.04=.007	0.500.000
WANTA DA CE A ESTADA E O DO DED A ENONG	8,917,086	8,588,229
SUMMARY STATEMENT OF OPERATIONS YEAR ENDED MAY 31, 2017	8,917,086	8,588,229
YEAR ENDED MAY 31, 2017 Revenues		
Revenues Registration fees	3,152,598	3,121,522
Revenues Registration fees Examination fees	3,152,598 160,011	3,121,522 162,880
Revenues Registration fees	3,152,598 160,011 74,339	3,121,522 162,880 96,347
Revenues Registration fees Examination fees	3,152,598 160,011	3,121,522 162,880 96,347
Revenues Registration fees Examination fees Interest and miscellaneous income	3,152,598 160,011 74,339	3,121,522 162,880 96,347 3,380,749
Revenues Registration fees Examination fees Interest and miscellaneous income  Expenses	3,152,598 160,011 74,339 3,386,948	3,121,522 162,880 96,347 3,380,749 2,139,096
Revenues Registration fees Examination fees Interest and miscellaneous income  Expenses Administration	3,152,598 160,011 74,339 3,386,948 2,108,229	3,121,522 162,880 96,347 3,380,749 2,139,096 92,850
Revenues Registration fees Examination fees Interest and miscellaneous income  Expenses Administration Professional services	3,152,598 160,011 74,339 3,386,948 2,108,229 150,801 262,447 281,699	3,121,522 162,880 96,347 3,380,749 2,139,096 92,850 246,759 277,142
Revenues Registration fees Examination fees Interest and miscellaneous income  Expenses Administration Professional services Investigations, hearings and resolutions	3,152,598 160,011 74,339 3,386,948 2,108,229 150,801 262,447 281,699 79,678	3,121,522 162,880 96,347 3,380,749 2,139,096 92,850 246,759 277,142
Revenues Registration fees Examination fees Interest and miscellaneous income  Expenses Administration Professional services Investigations, hearings and resolutions Examination and seminar costs Governance Registration	3,152,598 160,011 74,339 3,386,948 2,108,229 150,801 262,447 281,699 79,678 70,147	3,121,522 162,886 96,347 3,380,749 2,139,096 92,850 246,759 277,142 90,895 98,883
Revenues Registration fees Examination fees Interest and miscellaneous income  Expenses Administration Professional services Investigations, hearings and resolutions Examination and seminar costs Governance Registration Professional organizations	3,152,598 160,011 74,339 3,386,948 2,108,229 150,801 262,447 281,699 79,678 70,147 30,616	3,121,522 162,880 96,347 3,380,749 2,139,096 92,850 246,759 277,142 90,895 98,883 32,420
Revenues Registration fees Examination fees Interest and miscellaneous income  Expenses Administration Professional services Investigations, hearings and resolutions Examination and seminar costs Governance Registration Professional organizations Communication, education and training	3,152,598 160,011 74,339 3,386,948 2,108,229 150,801 262,447 281,699 79,678 70,147 30,616 142,017	3,121,522 162,880 96,347 3,380,749 2,139,096 92,850 246,759 277,142 90,895 98,883 32,420 88,670
Revenues Registration fees Examination fees Interest and miscellaneous income  Expenses Administration Professional services Investigations, hearings and resolutions Examination and seminar costs Governance Registration Professional organizations	3,152,598 160,011 74,339 3,386,948 2,108,229 150,801 262,447 281,699 79,678 70,147 30,616	3,121,522 162,880 96,347 3,380,749 2,139,096 92,850 246,759 277,142 90,895 98,883 32,420 88,670
Revenues Registration fees Examination fees Interest and miscellaneous income  Expenses Administration Professional services Investigations, hearings and resolutions Examination and seminar costs Governance Registration Professional organizations Communication, education and training	3,152,598 160,011 74,339 3,386,948 2,108,229 150,801 262,447 281,699 79,678 70,147 30,616 142,017	3,121,522 162,880 96,347 3,380,749 2,139,096 92,850 246,759 277,142 90,895 98,883 32,420 88,670 5,501 3,072,216

Complete audited financial statements available upon request from the office of the Registrar.

#### Inquiries, Complaints and Reports Committee Report to Council

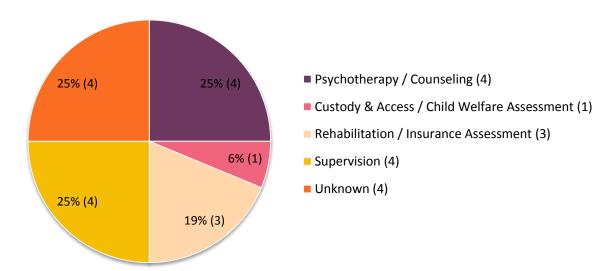
First Quarter, June 1, 2017 to August 31, 2017

#### **Committee Members:**

Sara Hagstrom (Chair)	College	Lynette Eulette	Council
Diane Addie	College	Elizabeth Levin	Council
Ruth Berman	Council	Donna McNicol	Public Member
Gilles Boulais	College	Fred Schmidt	College
Jason Brown	College	David Smith	College
Judy Cohen	Public Member	Laura Spiller	College
Dorothy Cotton	Council	Joanne Taylor	Professional
D'Arcy Delamere	Public Member	·	

#### **New Complaints and Reports**

In the 1<sup>st</sup> Quarter, the College received 15 new complaints and opened one new Registrar's Investigation, for a total of 16 new matters.



Four of these matters were related to psychotherapy/counselling services, four to supervision, three to rehabilitation/insurance assessments and one to custody & access/child welfare assessments. The remaining four matters, pending confirmation, remain of an unknown nature.

#### **ICRC** Meetings

The ICRC met on June 13, August 3 and August 25, 2017, to consider a total of 16 cases. In addition, 10 teleconferences were held to consider 12 cases. The next ICRC meeting will take place on September 26, 2017, with 4 cases scheduled to be considered.

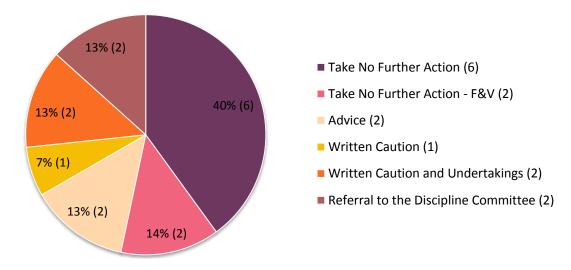
Three ICRC members new to the Committee received training and orientation on August 25, 2017, and an additional ICRC member will receive training and orientation on September 26, 2017.

An ICRC Working Group met on June 22 and August 3, 2017 to develop risk assessment tools for the Committee. The ICRC will hold a plenary meeting on September 8, 2017.

#### **ICRC Dispositions**

The ICRC disposed of 15 cases during the 1<sup>st</sup> Quarter. The ICRC decided to take no further action in six of these cases. In an additional two cases, the ICRC decided to take no further action pursuant to section 26(5) of the Health Professions Procedural Code, believing these complaints to be frivolous, vexatious, made in bad faith, moot, or otherwise an abuse of process.

Of the remaining seven cases, the ICRC decided to provide Advice in two and Written Cautions in three. Two matters with Written Cautions also included an Undertaking between the member and the College for remedial purposes. The ICRC decided to refer two matters to the Discipline Committee. As the Dispositions in these cases were reached by the ICRC before the May 30, 2017 amendments to the Regulated Health Professions Act, 1991. The cautions referred to are therefore not available on the Public Register.

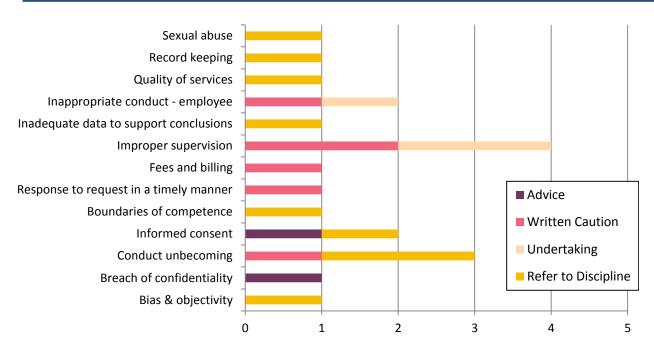


#### **Disposition of Allegations**

In the 1<sup>st</sup> Quarter, the 15 cases disposed of included the consideration of 37 allegations.

The most common allegations were: conduct unbecoming a member of the College (7); breach of confidentiality (4); improper supervision (4); inadequate data to support conclusions (3); bias and lack of objectivity (3); and insensitive treatment of clients (3).

The ICRC provided Advice with respect to two allegations and Written Cautions with respect to six allegations. Undertakings were agreed to with respect to three allegations associated with Written Cautions. Nine allegations were referred to the Discipline Committee. The substance of these allegations is illustrated below.



#### Health Professions Appeal and Review Board (HPARB)

In the 1<sup>st</sup> Quarter, four ICRC decisions were appealed to HPARB. Four HPARB appeals were also concluded in this quarter. One ICRC decision was confirmed, one dismissed as being frivolous, vexatious, made in bad faith, moot or otherwise an abuse of process, and two were withdrawn by those who requested the appeal.

### Changes to the Register

#### **Certificates of Registration**

The College would like to congratulate the *Psychologist* and *Psychological Associate* members who have received Certificates of Registration since July 28, 2017.

#### **Psychologists - Certificate of Registration Authorizing Autonomous Practice**

Ava-Ann Andrie Allman
Carole Alphonso

Kim Rosalie Natasha Ceurstemont

Amada Maria Andrade Costa Marie-Ève Couture Lalande

Christian Michel Démoré

Catherine Marie-Anne Desjardins

Jacinthe Nicole Faucher Kristin-Lee Margaret Fossum

Sabrina Frechette Erin Dawn Langis Sylvie Marie Leblanc Anne-Marie Leclerc Hourya Sahra Lekehal Michèle Jeanne Mantha

Adrienne Janine Cynthia Matheson

Kristen Heather McLeod

Elizabeth Ann Moxley-Paquette

Katherine Mary Roger Sarah Elaine Saunders Catherine Alayne Stafford

Stephen Truch William Williams

#### **Psychological Associates - Certificate of Registration Authorizing Autonomous Practice**

No new certificates were issued

#### **Psychologists - Certificate of Registration Authorizing Interim Autonomous Practice**

Camille Daudelin-Peltier Mitchell Edward Harris Nikhil Sadashiv Koushik Lyn Allison Langlois Emily Nicole Massey

Troy Phillip Rieck Lisa Paola Santa-Maria Barbagallo

Laura Michelle Turnbull Lisa Ziatz Webne-Behrman

### Psychological Associates - Certificate of Registration Authorizing Interim Autonomous Practice

No new certificates were issued

#### **Psychologists - Certificate of Registration Authorizing Supervised Practice**

Joshua Michael Boden Marianne O'Byrne
Angela Caron Erin Leigh O'Farrell
Suzanne Elizabeth Chomycz Pamela Elaine Percy

Lianne Helene English
Leah Iris Hartman
Sarah Horowitz
Shirin Jazayeri
Christine Klinkhoff
Jacqueline Amanda Legacy
Sophie Richard
Lyndall Anne Schumann
Héloise Sirois-Leclerc
Stephanie Pui Yan Tang
Brandon Paul Vasquez
Gursharan Kaur Virdee

Catherine Marie Leite Brenda Wiley

Clarisa Markel Eamon Roy James Wilson Kevin Louis Noble

#### **Psychological Associates - Certificate Authorizing Supervised Practice**

Julie Elizabeth Gamboz Jennifer Eve Kellerstein

The College wishes to thank those members who generously provided their time and expertise to act as primary and alternate supervisors for new members issued Certificates

Authorizing Autonomous Practice

#### **Retired Certificate of Registration**

David Samuel Abbey Mary-Ellen Francoeur
Jo Elaine Alberts-Corush Scot Robert McFadden
Patricia Anne Bourdeau Johnny Yap

#### Resigned

Maria Monika Adele Pepperall Beverly Ellen Walpole

#### **Deceased**

The College has learned with regret of the death of Dr. Harvey Brooker. Dr. Brooker was an original member of the College's Jurisprudence and Ethics Examination Committee (JEEC). He participated in the initial design and construction of the JEE and continued to contributed to its development and enhancement for many years. The College extends condolences to his family, friends and professional colleagues.